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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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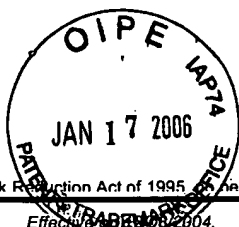
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/829,339	
	Filing Date	April 9, 2001	
	First Named Inventor	David Y. CHAN	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	15	Attorney Docket Number	0267-001-1430

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) Clean and Marked-Up <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Request for Certificate of Correction of Patent Under 37 CFR 1.323; - Five (5) Sheets of Certificate of Correction; and; - Return Receipt Postcard
<b>Remarks</b>		
<div style="text-align: right;"><b>Certificate</b> <b>JAN 20 2006</b> <b>of Correction</b></div>		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm Name	Greenberg Traurig, LLP	
Signature		
Printed name	Paul J. Sutton	
Date	January 12, 2006	Reg. No. 24,201

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
Signature		
Typed or printed name	Patricia Kane	Date January 12, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective 01/01/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

### Complete if Known

Application Number 09/829,339  
Filing Date April 9, 2001  
First Named Inventor David Y. CHAN  
Examiner Name  
Art Unit  
Attorney Docket No. 0267-001-1430

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 50-1561 Deposit Account Name: Greenberg Traurig, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims Extra Claims Fee (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

##### Multiple Dependent Claims

Fee (\$) Fee Paid (\$)

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = / 50 = (round up to a whole number) x =

Fees Paid (\$)

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Certificate of Correction

100

#### SUBMITTED BY

Signature [Signature] Registration No. (Attorney/Agent) 24,201 Telephone (212) 801-2108  
Name (Print/Type) Paul J. Sutton Date January 12, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Patent No. : 6,900,972  
 Issue Date : May 31, 2005  
 Application No. : 09/829,339  
 For : CIRCUIT INTERRUPTER WITH IMPROVED SURGE  
 SUPPRESSION

ATTN: Certificate of Correction Branch.  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
UNDER 37 C.F.R. §§ 1.322 AND 1.323**

Sir:

It is certified that mistakes appear in the above-identified patent. The patent is hereby corrected as detailed below:

Patent and Trademark Office mistake

In an Amendment filed November 19, 2003, new claim 26 was added in the application, as follows:

--Claim 26. (New) The device of claim 7 wherein the over voltage protection circuit comprises a carbon-block protector.--

Claim 26 of the application corresponds to claim 10 of the patent. However, at col. 6, line 39 of the patent, claim 10 recites "claims" instead of --claim--, and "herein" instead of --wherein--, which is incorrect. This line of the patent should read: --10. The device of claim wherein the over voltage--.

The above-noted mistake was incurred through the fault of the Patent and Trademark Office. Correction under 37 C.F.R. § 1.322 is respectfully requested. No fee is believed to be due with regard to correcting this mistake.

Patentees' mistakes

## (1) In the claims:

Claim 32 of the application, added in the Amendment of November 19, 2003, was directed to a method and recited a dependence from Claim 30. This was incorrect, since claim 30 was directed to a device; claim 32 should instead have recited a dependence from independent method claim 31. Claims 30, 31 and 32 of the application correspond respectively to claims 13, 14 and 15 in the patent. It is noted that claim 13 is directed to a device (col. 6, line 51), while claim 14 is directed to a method (col. 6, line 55). Accordingly, at col. 6, line 61, the text "15. The method of claim 13" should read --15. The method of claim 14--. This mistake occurred in good faith, is minor in character and does not constitute new matter or require reexamination. Correction under 37 C.F.R. § 1.323 is respectfully requested.

## (2) In the drawings:

Attached are marked-up copies of drawing sheets 2-4 of the above-identified patent, corresponding to Figs. 2-4 respectively, with the charges thereon marked in red ink.. These drawings show a horizontal line, marked "line1", which should be removed. In addition, a vertical line, marked "line2" should be added. The line "line2" appears in the original drawings filed but not in the above-identified patent. Accordingly, the attached clean copies of Sheets 2-4, corresponding to Figs. 2-4, should replace the current drawings appearing in the above-identified patent. In addition, the figure appearing on the front page of the above-identified patent should be replaced with the attached clean copy of Fig. 2. This mistake occurred in good faith, is minor in character and does not constitute new matter.

The patentees wish to express their appreciation to the Examiner for calling their attention to these errors in the drawings during prosecution of continuation Application No. 11/079,557. Correction of the present patent under 37 C.F.R. § 1.323 is respectfully requested.

The Commissioner is hereby authorized to charge the fee of \$100.00 under 37 C.F.R. § 1.20(a) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. Any deficiency in or overpayment of fees in connection with this Request should likewise be charged or credited to Deposit Account No. 50-1561 of Greenberg Traurig, LLP.

A Certificate of Correction for the above-identified patent has been prepared and is attached hereto. It is noted that pages 2, 3 and 4 of the Certificate show the corrected Figures 2, 3 and 4 respectively; the corrected Figure appearing on the front page of the patent appears on page 5 of the Certificate.

Approval of the Certificate of Correction is respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Paul Sutton', with a stylized flourish extending to the right.

Paul Sutton  
Reg. No. 24,201

Greenberg Traurig, LLP  
MetLife Building  
200 Park Avenue  
New York, NY 10166

Date: January 12, 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**PATENT NO. : 6,900,972 *B)*Page 1 of 5

APPLICATION NO.: 09/829,339

ISSUE DATE : May 31, 2005

INVENTOR(S) : CHAN, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 6, line 39, "claims" should read --claim-- and "herein" should read --wherein--;

Column 6, line 61, delete "15. The method of claim 13" and insert --15. The method of claim 14--.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Greenberg Traurig, LLP  
200 Park Avenue, New York, New York 10166

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 2 of 5

PATENT NO. : 6,900,972 *B1*  
APPLICATION NO.: 09/829,339  
ISSUE DATE : May 31, 2005  
INVENTOR(S) : CHAN, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Replace sheet 2 of the drawings (FIG. 2) with the sheet of drawings shown below:

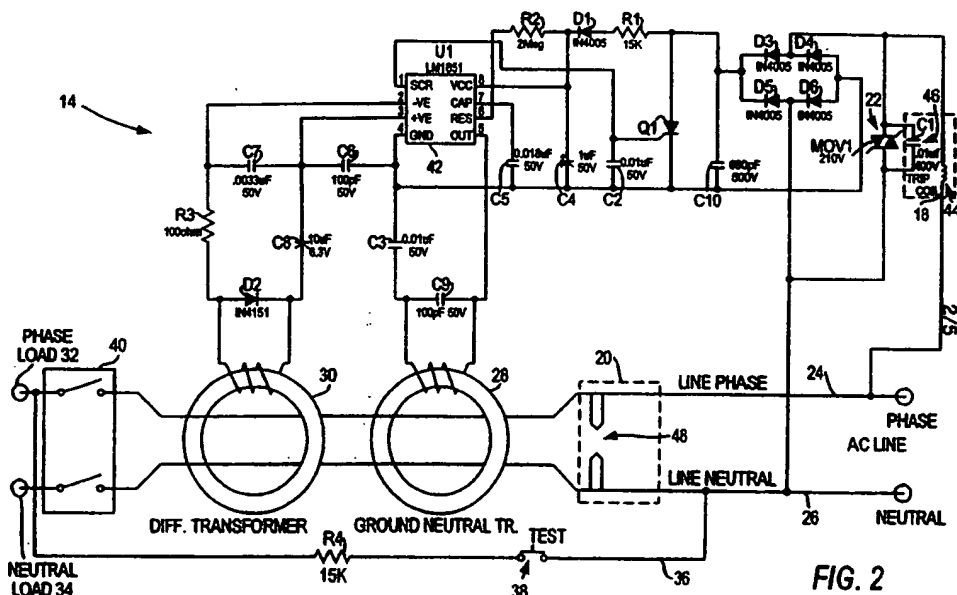


FIG. 2

U.S. Patent May 31, 2005 Sheet 2 of 5 US 6,900,972 B1

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 4 of 5

PATENT NO. : 6,900,972 *B1*  
APPLICATION NO.: 09/829,339  
ISSUE DATE : May 31, 2005  
INVENTOR(S) : CHAN, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Replace Sheet 4 of the drawings (FIG. 4) with the sheet of drawings shown below:

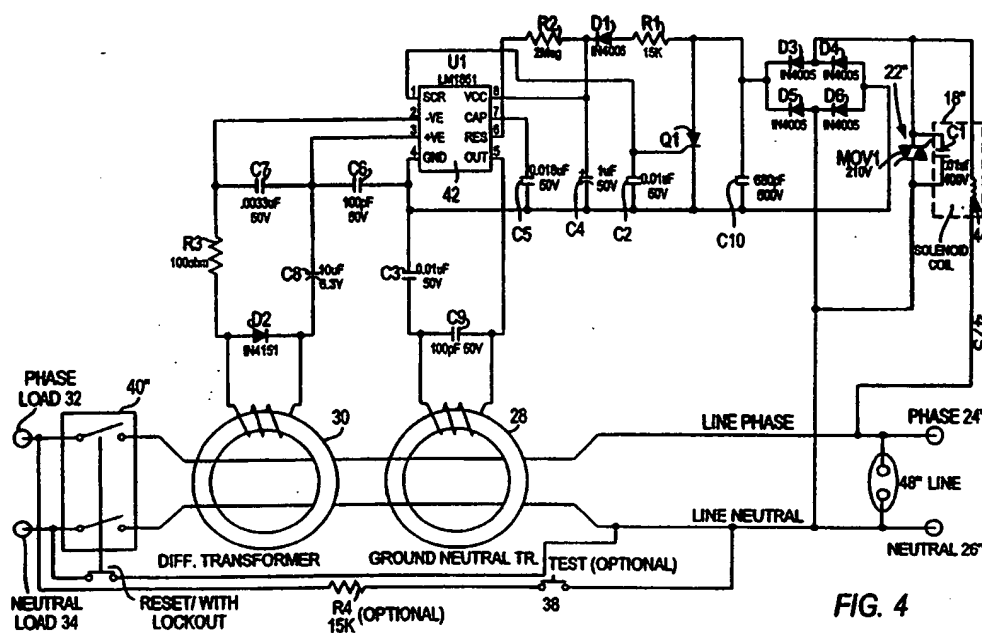


FIG. 4

U.S. Patent May 31, 2005 Sheet 4 of 5 US 6,900,972 B1

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Greenberg Traurig, LLP  
200 Park Avenue, New York, New York 10166

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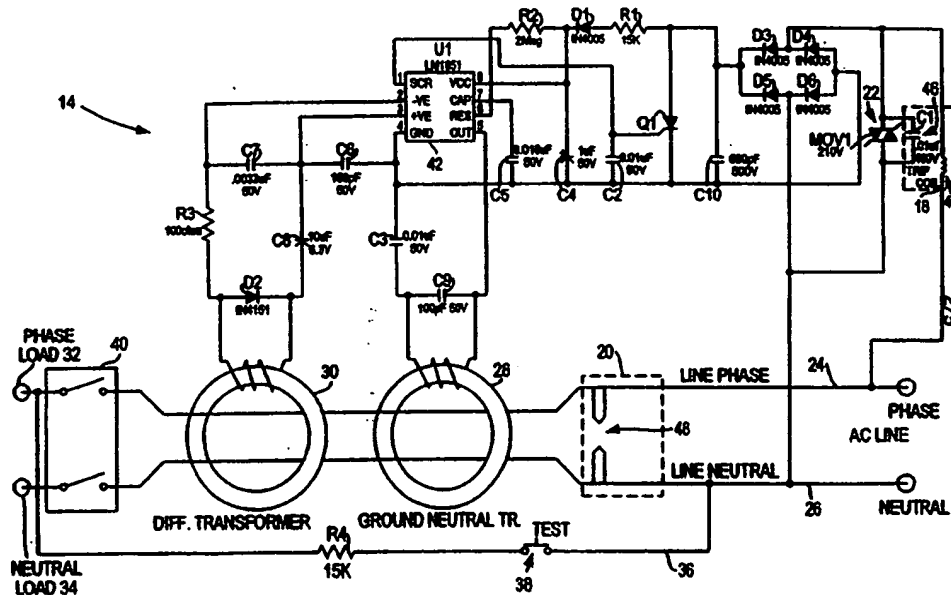
## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 5 of 5

PATENT NO. : 6,900,972 *131*  
APPLICATION NO.: 09/829,339  
ISSUE DATE : May 31, 2005  
INVENTOR(S) : CHAN, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Replace the figure on the front page of the patent with the figure shown below:

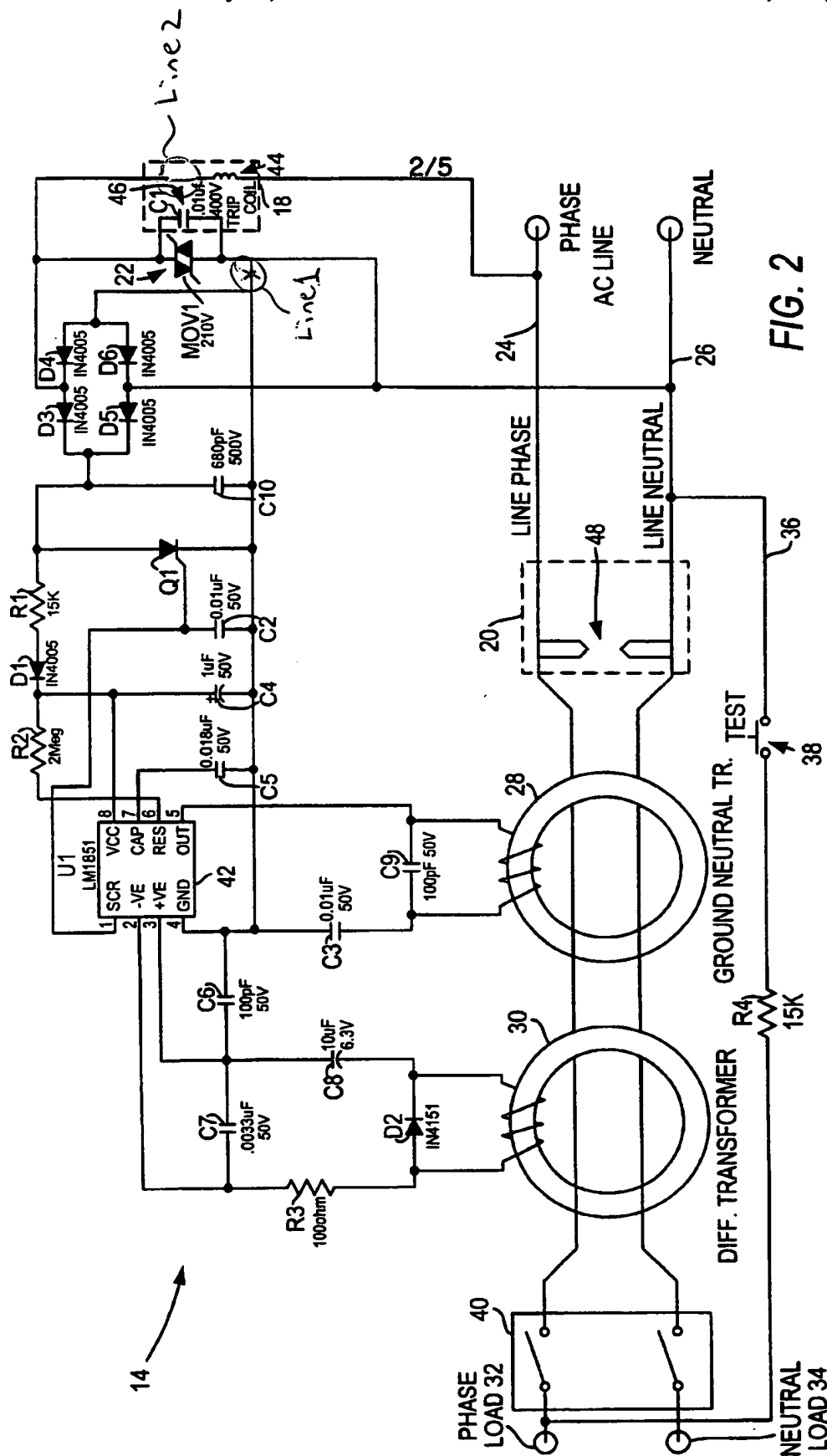


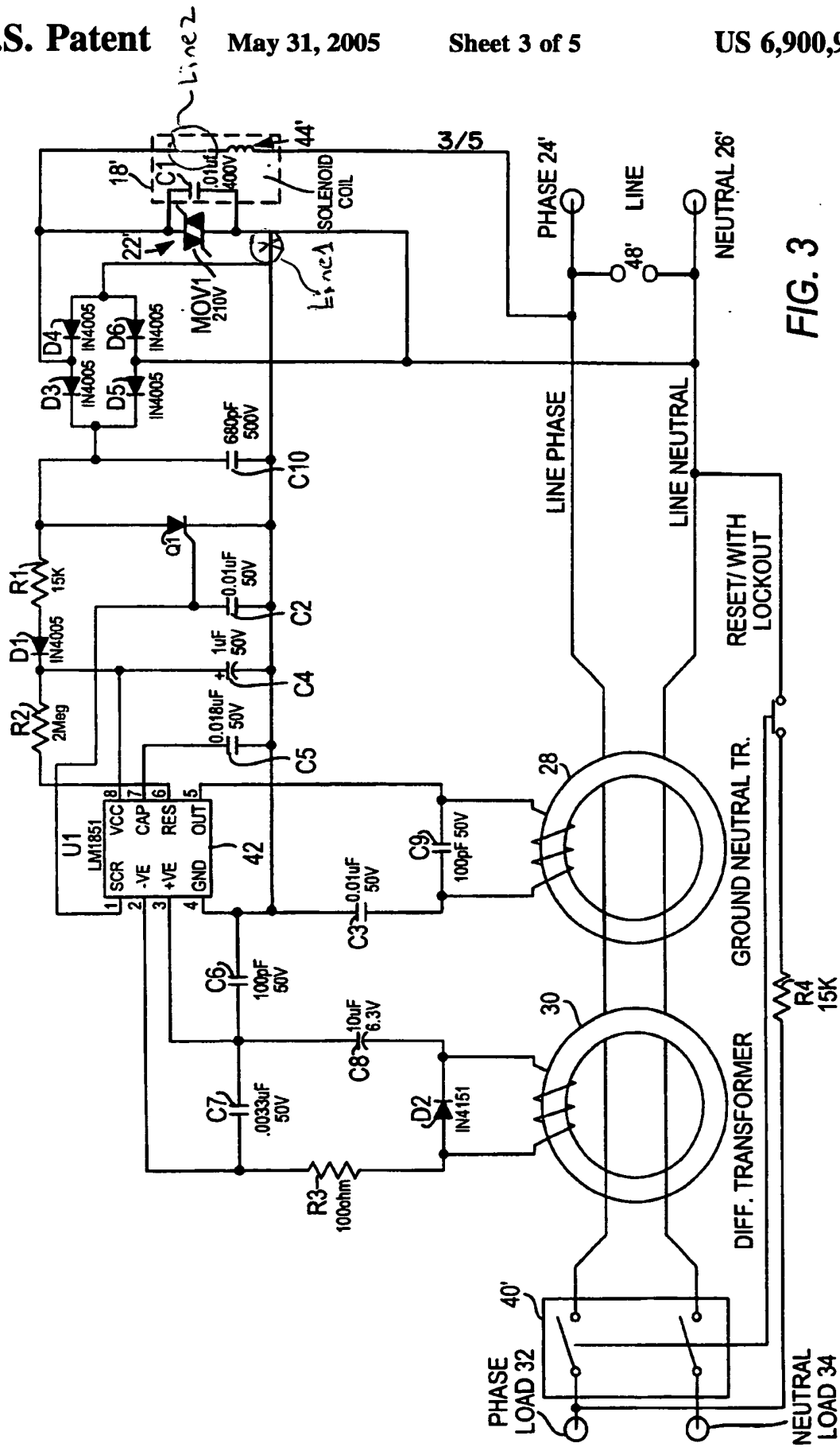
MAILING ADDRESS OF SENDER (Please do not use customer number below):

Greenberg Traurig, LLP  
200 Park Avenue, New York, New York 10166

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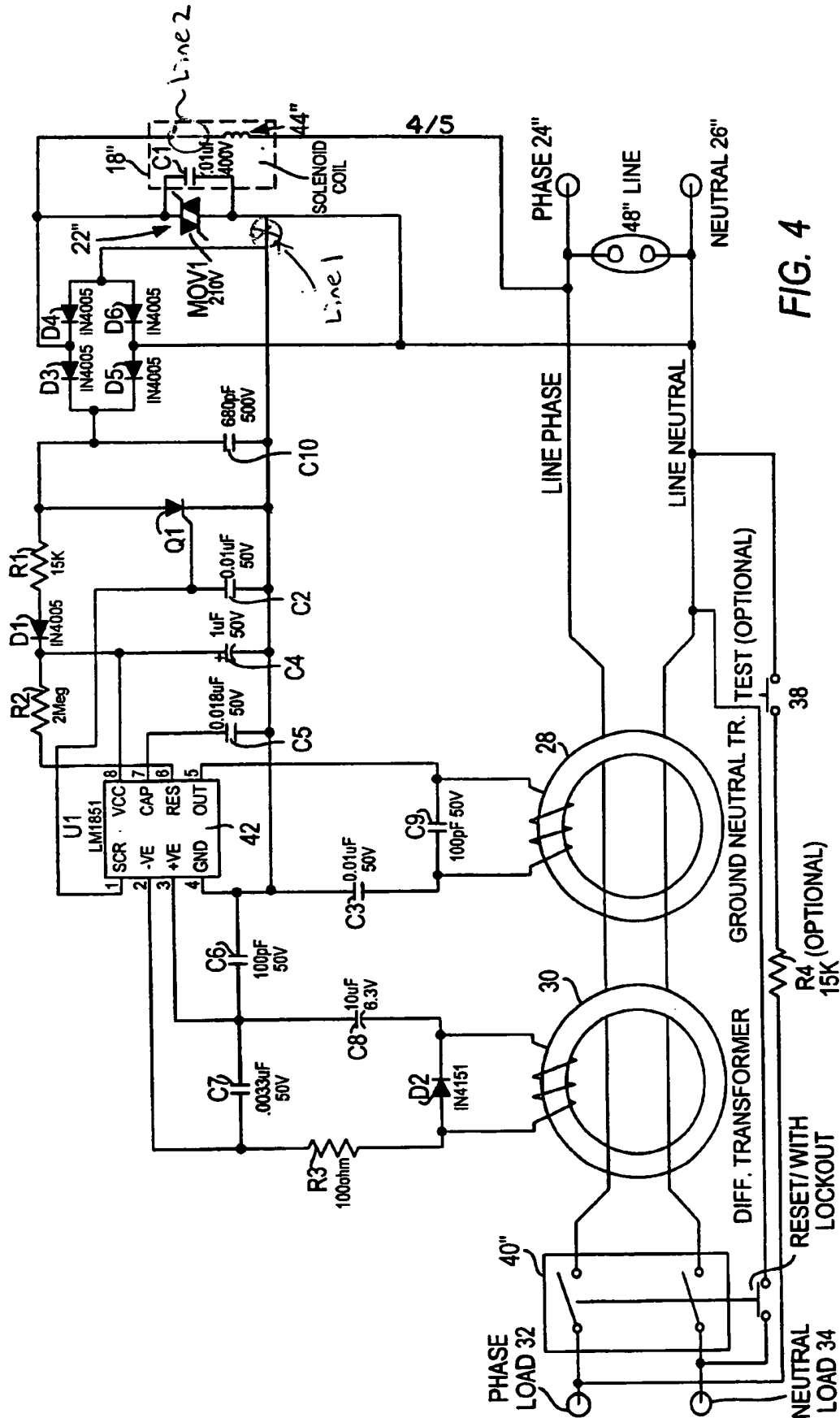
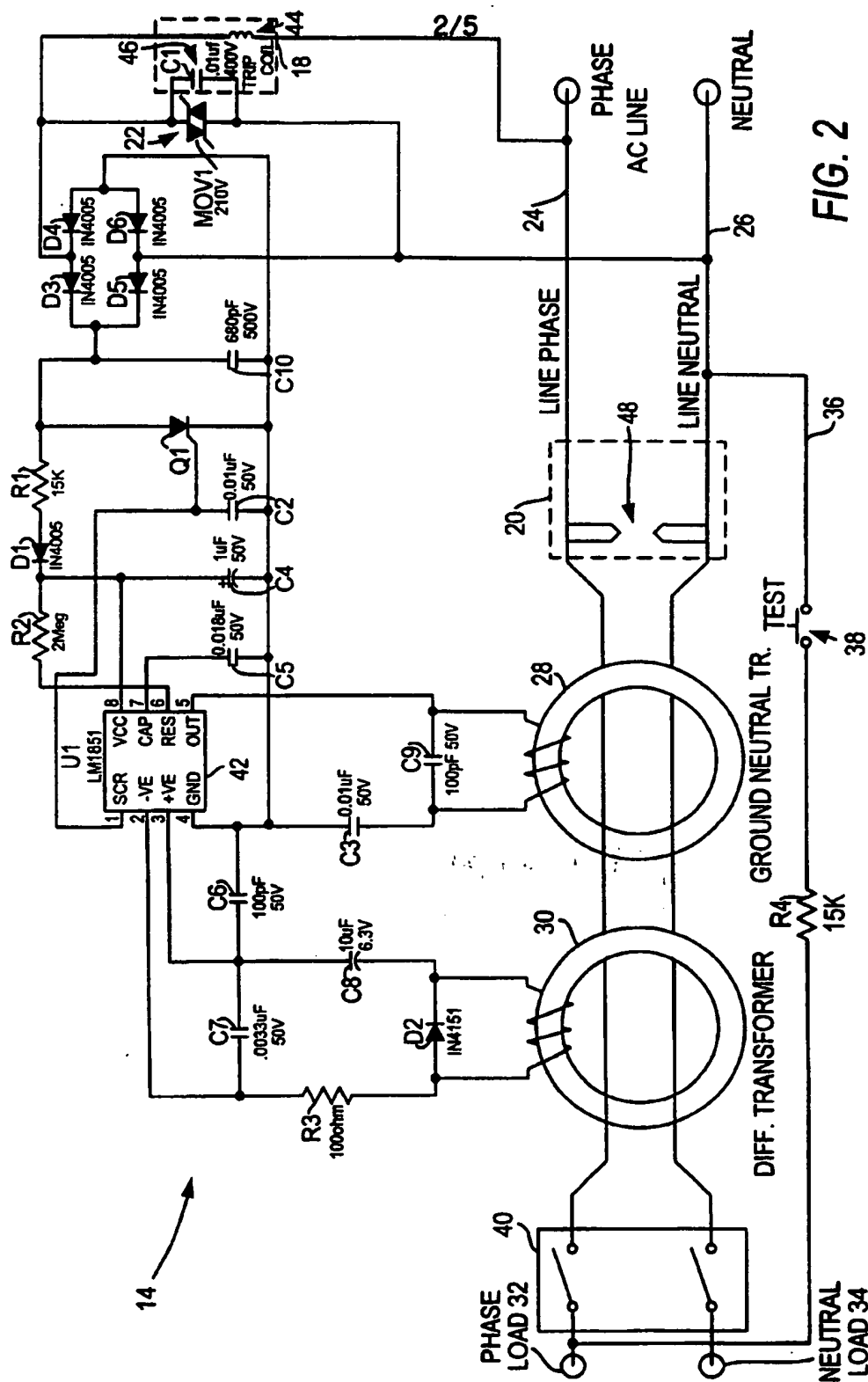
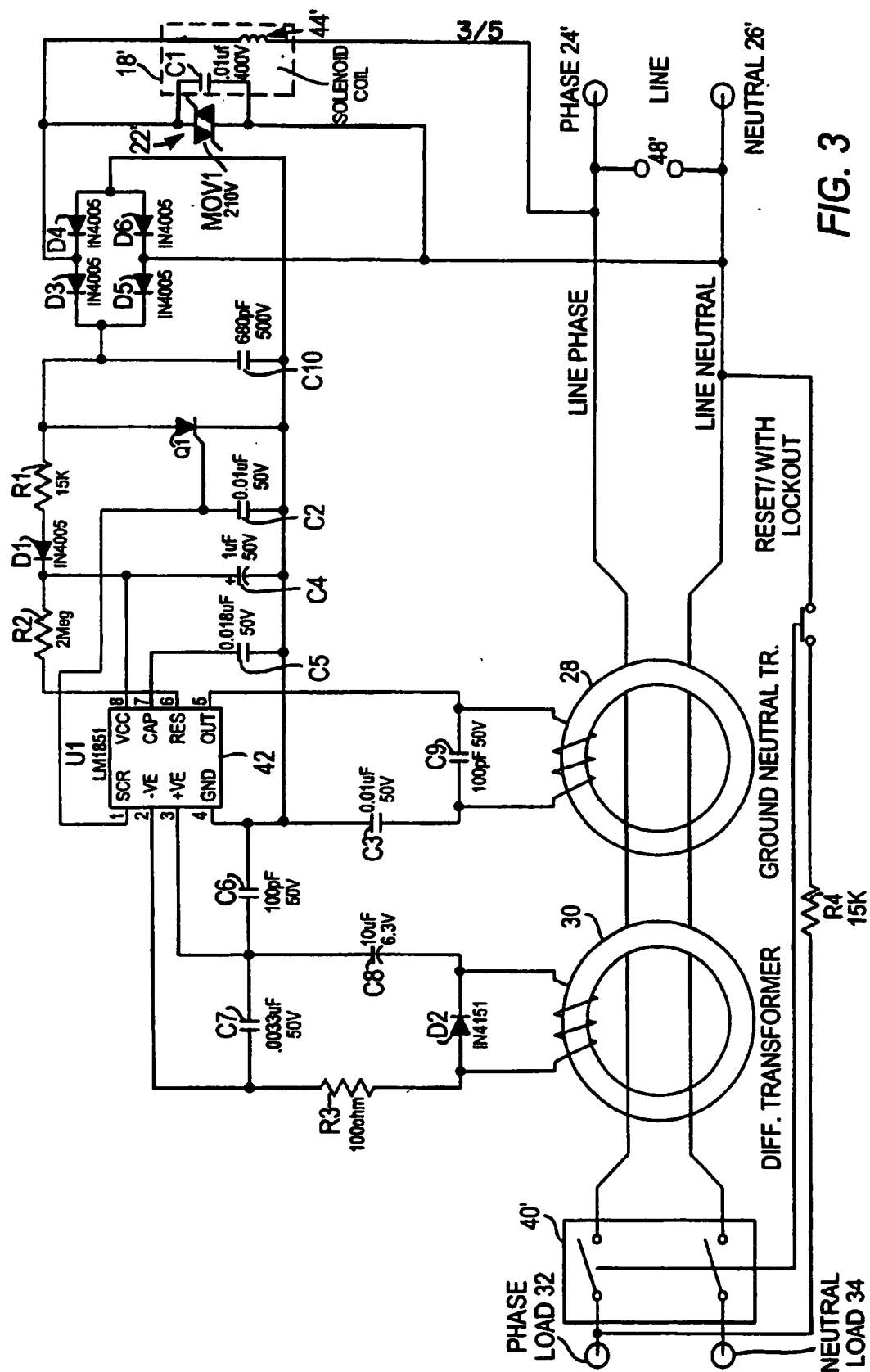


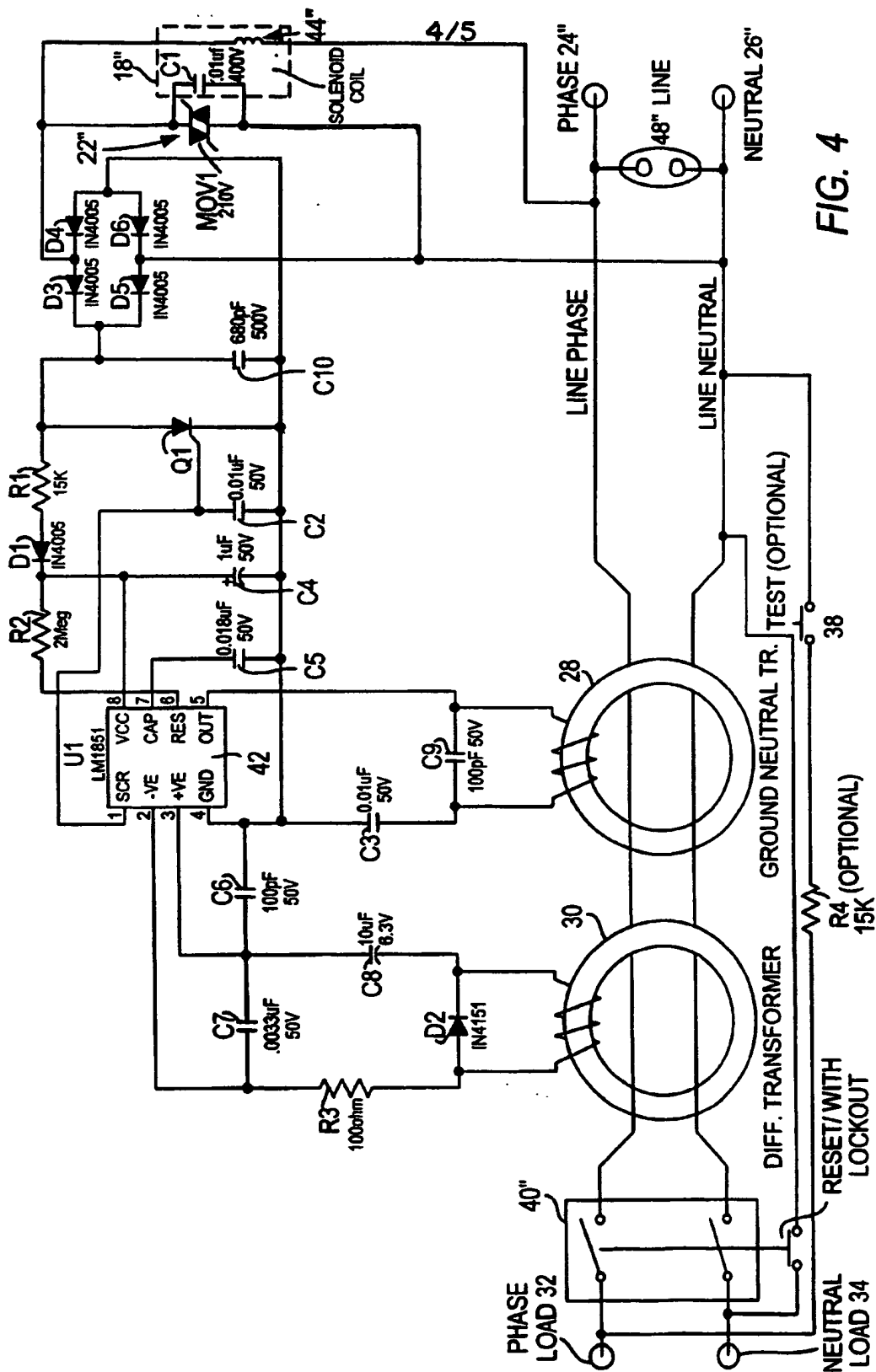
FIG. 4



**FIG. 2**



**FIG. 3**



**FIG. 4**